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PTO/SB/05 (11/00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 514429-3647.1  
First Inventor Fleckenstein et al.  
Title HUMAN SEMAPHORIN L (H-SEMAL) AND CORRESPONDING SEMAPHORINS IN OTHER SPECIES  
Express Mail Label No. EL819159904US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 95]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix.  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 18]
5. ☒ Oath or Declaration [Total Pages \_\_\_\_]  
☐ Newly executed (original or copy)  
☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Form (CFR)  
b. Specification sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & documents(s))
10. ☐ 37 CFR 3.73(b) Statement  
☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations (partial)
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).  
Applicant must attach from PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:


☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/112,904  
Prior application information: Examiner: Mary K. Zeman Group/Art Unit: 1631

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon which a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name William F. Lawrence  
FROMMER LAWRENCE & HAUG LLP  
Address 745 Fifth Avenue  
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|                   |   |                                   |                 |
|-------------------|---|-----------------------------------|-----------------|
| Name (Print/Type) | Peter J. Waibel   | Registration No. (Attorney/Agent) | Reg. No. 43,228 |
| Signature         |  | Date                              | April 16, 2001  |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 2000.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

## Complete if Known

|                      |   |
|----------------------|---|
| Application Number   | To Be Assigned (Continuation of 09/112,904) |
| Filing Date          | Herewith                                    |
| First Named Inventor | Fleckenstein et al                          |
| Examiner Name        | Mary K. Zeman                               |
| Group/Art Unit       | 1631  |
| Attorney Docket No.  | 514429-3647.1                               |

TOTAL AMOUNT OF PAYMENT (\$ 2874.00)

## METHOD OF PAYMENT

1. ☐

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Frommer Lawrence & Haug LLP

50-0320

☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 710             | 201            | 355             | Utility filing fee     | 710.00   |
| 106            | 320             | 206            | 160             | Design filing fee      |          |
| 107            | 490             | 207            | 245             | Plant filing fee       |          |
| 108            | 710             | 208            | 355             | Reissue filing fee     |          |
| 114            | 150             | 214            | 75              | Provisional filing fee |          |
| SUBTOTAL (1)   |                 |                |                 |                        | 710.00   |

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claim | Fee from below | Fee Paid |
|--------------------|-------------|----------------|----------|
| 29                 | -20** = 9   | 18             | 162.00   |
| Ind. Claims 3      | -3** =      |                |          |
| Multiple Dependent |             |                |          |

\*\* or number previously paid, if greater; for reissues, see below

| Large Fee Code          | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|-------------------------|-----------------|----------------|-----------------|--|
| 103                     | 18              | 203            | 9               | Claims in excess of 20                                     |
| 102                     | 80              | 202            | 40              | Independent claims in excess of 3                          |
| 104                     | 270             | 204            | 130             | Multiple dependent claim, if not paid                      |
| 109                     | 80              | 209            | 40              | ** Reissue independent claims over original patent         |
| 110                     | 18              | 210            | 9               | ** Reissue claims in excess of 20 and over original patent |
| 107                     | 490             | 207            | 245             | Plant filing fee   |
| SUBTOTAL (2) (\$872.00) |                 |                |                 |  |

## FEE CALCULATION

### 3. ADDITIONAL FEES

| Large Fee Code          | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|-------------------------|-----------------|----------------|-----------------|--|----------|
| 105                     | 130             | 205            |                 | Surcharge - late filing fee or oath  |          |
| 127                     | 50              | 227            | 25              | Surcharge - late provisional filing fee or Cover sheet                     |          |
| 139                     | 130             | 139            | 130             | Non-English specification  |          |
| 147                     | 2,520           | 147            | 2,520           | For filing a request of reexamination                                      |          |
| 112                     | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 113                     | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 115                     | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116                     | 390             | 216            | 195             | Extension for reply within second month                                    |          |
| 117                     | 890             | 217            | 445             | Extension for reply within third month                                     | 890.00   |
| 118                     | 1,390           | 218            | 695             | Extension for reply within fourth month                                    |          |
| 128                     | 1,890           | 228            | 945             | Extension for reply within fifth month                                     |          |
| 119                     | 310             | 219            | 160             | Notice of Appeal   |          |
| 120                     | 310             | 220            | 155             | Filing a brief in support of an appeal                                     |          |
| 121                     | 270             | 221            | 135             | Request for oral hearing   |          |
| 138                     | 1,510           | 138            | 1,510           | Petition to institute a public use Proceeding                              |          |
| 140                     | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141                     | 1,240           | 241            | 620             | Petition to revive- unintentional  |          |
| 142                     | 1,240           | 242            | 620             | Utility issue fee (or reissue)   |          |
| 143                     | 440             | 243            | 220             | Design issue fee   |          |
| 144                     | 600             | 244            | 300             | Plant issue fee  |          |
| 122                     | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123                     | 50              | 123            | 50              | Petitions related to provisional Applications                              |          |
| 126                     | 240             | 126            | 240             | Submission of Information Disclosure Statement                             |          |
| 581                     | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) |          |
| 146                     | 710             | 246            | 355             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                     | 710             | 249            | 355             | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| Other fee (specify)     |                 |                |                 |  |          |
| Other fee (specify)     |                 |                |                 |  |          |
| SUBTOTAL (3) (\$890.00) |                 |                |                 |  |          |

\* Reduced by Basic Filing Fee

## SUBMITTED BY

Typed or Printed Name

Peter J. Waibel

Signature

*Peter J. Waibel*

## Complete (if applicable)

Reg. Number

43,228

Deposit Account

50-0320

The PTO did not receive the following listed item(s) \$2874.00 check but \$1762.00.

em0960